Please type a plus sign (+) inside this box. Under the Paperwork Reduction Act of 1995, no persons are required to recommendation.				U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE or respond to a collection of information unless it displays a valid OMB control number.				
UTILITY		Attorney Docket No.		M 5767A-NHG/CA				
PATENT APPLICATION		First Inventor SCHLIPALIUS,		Lanc				
TRANSMITTAL		Title (Title CAROTENOID FORMULATION					
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))			Express Mail Label No. EV324783040US					
APF	PLICATION ELEMENT	S	Assistant Commissioner for Patents					
See MPEP chapter 600	concerning utility patent a	application contents.	ADDRESS TO: Box Patent Application Washington, DC 20231					
The Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for the processing)			7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission					
2. Applicant See 37 Cl	claims small entity status. FR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
3. Specificat	ion [Total Pagarrangement set forth below)	es 41]	а	.	Computer Readable Fo	m (CRF)	594 500	
	otive title of the invention Reference to Related Appli	cations	b	. Specificat	tion Sequence Listing o	n: -R (2 copies); or	35	
- Refere	ent Regarding Fed sponsonce to sequence listing, a	able,		ii. [paper		162	
	emputer program listing append ound of the invention	lix	С	. 🗀	Statements verifying ide	entity of above copies		
	ummary of the Invention escription of the Drawings	(if filed)		ACCO	MPANYING APPLIC	ATION PARTS		
- Detaile	d Description	(II IIIeu)	9.	Ass	ignment Papers (cover	sheet & document(s	s))	
- Claim(s - Abstrac	s) ct of the Disclosure		10,		CFR 3.73 (b) Statemen		Power of Attorney	
4. X Drawing(s) (35 U.S.C. 113) {Total Sheets 12 } (when there is an assignee) Attorney English Translation Document (if applicable)							allomey !	
5. Oath or Declaration [Total Pages 3]			12. Information Disclosure Copies of Statement (IDS)/PTO-1449 IDS Citations					
a. Newly executed (original or copy)				13. Preliminary Amendment				
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)					turn Receipt Postcard (MPEP 503) ould be specifically itemized)			
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)			15. Certified Copy of Priority Document(s) (of foreign priority is claimed)					
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)			16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35					
6. Applicatio	n Data Sheet. See 37 CF	R 1.76	or its equivalent Other: REQUEST TO CHANGE CORRESPONDENCE					
			ADDRESS					
18. If a CONTINUING	APPLICATION, check app	ropriate box, and supp	ly the requis	ite information	below and in a prelimin	nary amendment,		
or in an Application Da	ta Sheet under 37 CFR 1.3		n-in-part (CIP)	of pri	or application No.;	09/446,397		
Prior applicatio	in information:	Examiner _ J	lannifar M	Kim	Group	Art Unit: 161	,,	
For CONTINUATION OR I	DIVISIONAL APPS only: The	entire disclosure of the prior	r application, f	rom which an oa	th or declaration is supplied	i under	′	
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS								
Customer Municipal	about as Box Code Label				7	Company dance adde	hotou	
x Customer Number or Bar Code Label			657		or	Correspondence addre	iss delow	
	r							
Name	Aaron R. Ettelm	an						
Address						1		
Country	State Telephone		(610)	Zip Code		(610) 278-	4971	
Country								
Name (Print/Type)	Aaron/R. Ettelm	an //		Kegistration	No. (Attorney/Agent)	42,516		
Signature	VA 1 59	1//			Date	September '	10, 2003	

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FFF TDANCA	TITAL	Complete if Known				
FEE TRANSM	IIIIAL	Application Number				
for FY 20	01	Filing Date				
101 1 1 20	U I	First Named Inventor	SCHLIPALIUS, Lance			
Patent fees are subject to annu	ual revision.	Examiner Name				
	(\$) 750	Group/Art Unit				
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	M 5767A-NHG/CA			

The state of the s								
METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. A	DDITION Entity	ONAL Small	FEES	8			
Deposit 50 1177 Order No. 03 0453	Fee	Fee	Fee	Fee	F Danadakian	Too Doid		
Account Number 30-1177 Order No. 03-0433	Code 105	(\$) 130	Code 205	(\$) 65	Fee Description Surcharge – late filing fee or oath	Fee Paid		
Deposit		50	227	25	Surcharge – late provisional filing fee or cover sheet.			
Account Name Cognis Corporation Charge Any Additional Fee Required	139	130	139	130	Non-English specification			
Under 37 CFR §§ 1.16 and 1.17		0.500	4.47	0.500	Ear files a request for ay parts recommended			
Applicant daims small entity status. See 37 CFR 1.27	147	2,520	147	2,520	For filing a request for ex parte reexamination			
2. Payment Enclosed:	112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION	115	110	215	55	Extension for reply within first month			
1. BASIC FILING FEE	116	390	216	195	Extension for reply within second month	LH		
Large Entity Small Entity Fee Fee Fee Fee Pescription Fee Paid	117	890	217	445	Extension for reply within third month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	118	1,390	218	695	Extension for reply within fourth month			
101 750 201 375 Utility filing fee \$750.00	128	1,890	228	945	Extension for reply within fifth month			
106 330 206 165 Design filing fee	119	310	219	155	Notice of Appeal			
107 520 207 260 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal			
108 750 208 375 Reissue filing fee	121	270	221	135	Request for oral hearing			
114 160 214 80 Provisional filing fee		1,510	138	1,510	Petition to institute a public use proceeding			
		110	240	55	Petition to revive – unavoidable			
SUBTOTAL (1) (\$) 750.00	141	1,240	241	620	Petition to revive - unintentional			
	142	1,240	242	620	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES	143	440	243	220	Design issue fee			
Extra Claims Fee from below Fee Paid	144	600	244	300	Plant issue fee	{ } }		
Total Claims 16 -20**= 0 X \$18.00 = .00	122	130	122	130	Petitions to the Commissioner			
Independent 2 - 3**= 0 X \$84.00 = .00	123	50	123	50 Petitions related to provisional applications				
Claims 2 \$280.00 = ,00			Submission of Information Disclosure Stmt	[
**or number previously paid, if greater; For Reissues, see below		40	581	40	Recording each patent assignment per property (times			
Large Entity Small Entity		710	246	355	number of properties) Filing a submission after final rejection			
Fee Fee Fee Fee Description			(37 CFR § 1.129(a)) For each additional invention to be examined (37 CFR					
	179	710	279	355	§ 1.129(b)) Request for Continued Examination (RCE)			
	169	900	169	900	Request for expedited examination of a design			
	109	500	103	300	application	 		
104 280 204 140 Multiple dependent claim, if not paid	Other fee (specify)							
109 84 209 42 **Reissue independent daims over original patent								
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fee (specify)							
SUBTOTAL (2) (\$) .00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) .00						 1		
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$).00								
SUBMITTED BY Complete (if applicable)								
Name (Print/Type) Aaron R. Ettelman Registration No (Altorney/Agent) 42,516 Telephone (610) 278-4930								
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SUBMITTED BY		1		Complete (if applicable)		
Name (Print/Type)	Aaron R. Ettelman	///	Registration No (Attorney/Agent) 42,516	Telephone	(610) 278-4930	
Signature	77.//	1.5	1/4	Date	September 10, 2003	

"Express Mail Post Office to Addressee" service Mailing Label Number EV324783040US .

> PATENT Docket No. M 5767A-NHG/CA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Schlipalius, et al., a Continuation under

37 C.F.R. § 1.53(b) of:

Serial No. 09/446,397

Examiner: Jennifer M. Kim

Filed: 06/22/00

Art Unit: 1617

TITLE: CAROTENOID FORMULATION

REQUEST TO CHANGE CORRESPONDENCE ADDRESS

Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please change the correspondence address for the above captioned and numbered application to:

CUSTOMER NO. 23657

Please change the telephone contact number for the above captioned and numbered application to: (610) 278-4930.

Teresa Stanek Rea

(Reg. No. 30,427)

Attorney for Applicant(s)

Respectfully supported,

(703) 836-6620